

# Transfer of Ownership Form



Use this form to transfer shares to a new owner or change the registered owners of your account. This form serves as your transfer instructions and your new application.

If you are transferring a 403(b), 457(b) or a business account, do not use this form. Please use the *Transfer of Shares Ownership* form, which can be downloaded from [americancentury.com](http://americancentury.com).

**Investors using advisors:** If transferring shares from an A, B, C, Advisor or R Class fund, the new owner must appoint a broker of record. Please enclose a change of broker/dealer form, obtained from your broker, along with this form or call us using the appropriate phone number on the back of this form.

Please provide American Century Investments® with the signature and documentation needed for your situation as described below. If you are transferring shares or changing account ownership **because of the death** of an investor, or if there is not an option listed for your situation, please **call us at one of the phone numbers on the last page of this form** for the appropriate paperwork.

Your telephone number in case we have questions about your request: \_\_\_\_\_

## Please note:

- In many situations, American Century Investments will establish a new account with a new account number.
- If you have outstanding CheckWriting checks written against the current account, please leave sufficient funds in the current account to ensure payment.
- In situations of divorce, American Century Investments may require a signature guarantee for any of the scenarios listed as indicated or if one spouse requests it. You can find more information about signature guarantees in step 5.
- For transfers from taxable, non-money market accounts: The current owner may have chosen a cost basis method other than our default. Once transferred, we will use the default method of Average Cost in reporting cost basis for the newly established account. If a different method is desired, the new owner should complete a *Cost Basis Election Form* or contact us.

## 1 Tell Us Your Intent

Check only one of the boxes below or on the following pages, then skip to page 3, step 2.

### From a Traditional IRA, SEP IRA, SIMPLE IRA or Roth IRA

- To an identical IRA registered to a former spouse

**Note: This type of transfer is only allowed in the case of divorce.**

### Signature and Documentation Requirements:

- Current owner completes steps 1-5.
- A signature guarantee of the current owner is required in step 5 if the value of the transfer is greater than \$100,000.
- New owner completes steps 6-10, unless you are transferring to an existing account with an identical registration.

**Step 1 continued on page 2**

## Tell Us Your Intent (continued)

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### From a Personal Trust Account

### Signature and Documentation Requirements:

To an Individual Account; sole trustee is the sole owner of the new account

- The trustee completes steps 1-10.
- No signature guarantee is required.

To a Joint Account; trustees are the only owners of the joint account

- The trustees complete steps 1-10.
- No signature guarantee is required.

To a New Trustee or to a new Personal Trust Account

- All former trustees complete steps 1-5.
- A signature guarantee of each former trustee is required in step 5 if the value of the transfer is greater than \$100,000.
- If transferring to a new trust or trustee, all trustees of the trust complete steps 6-10.
- Send a copy of the pages of the trust document identifying the name of the trust, the name(s) of the trustee(s) and the date of the trust. This information is usually found on the first page, last page and signature page of the trust document.

To all other accounts not described above

- The current trustee(s) complete steps 1-5.
  - A signature guarantee of each trustee is required in step 5 if the value of the transfer is greater than \$100,000.
  - All new owners complete steps 6-10.
- 

### From an Individual Account

### Signature and Documentation Requirements:

To an Individual Account

- Current owner completes steps 1-5.
- A signature guarantee of the current owner is required in step 5 if the value of the transfer is greater than \$100,000.
- The new owner completes steps 6-10.

To a Joint Account

- Current owner completes steps 1-5.
- A signature guarantee of the current owner is required in step 5 if the value of the transfer is greater than \$100,000.
- All joint owners complete steps 6-10.

To a Personal Trust Account

- Current owner completes steps 1-5.
- A signature guarantee of the current owner is required in step 5 if the value of the transfer is greater than \$100,000, unless the current owner is the sole trustee.
- All trustees of the trust complete steps 6-10.
- Send a copy of the pages of the trust document identifying the name of the trust, the name(s) of the trustee(s) and the date of the trust. This information is usually found on the first page, last page and signature page of the trust document.

To an UGMA/UTMA Account

- Current owner completes steps 1-5.
- A signature guarantee of the current owner is required in step 5 if the value of the transfer is greater than \$100,000, unless current owner is the custodian.
- The custodian completes steps 6-10.

**Step 1 continued on page 3**

## Tell Us Your Intent (continued)

### From a Joint Account

To a Personal Trust Account, and the joint owners are the only trustees of the trust

### Signature and Documentation Requirements:

- All owners complete steps 1-10.
- No signature guarantee is required.
- Send a copy of the pages of the trust document identifying the name of the trust, the name(s) of the trustee(s) and the date of the trust. This information is usually found on the first page, last page and signature page of the trust document.

To any other Personal Trust Account not described above

- All current owners complete steps 1-5.
- A signature guarantee of each current owner is required in step 5 if the value of the transfer is greater than \$100,000.
- All trustees of the trust complete steps 6-10.
- Send a copy of the pages of the trust document identifying the name of the trust, the name(s) of the trustee(s) and the date of the trust. This information is usually found on the first page, last page and signature page of the trust document.

To an Individual Account or a Joint Account

### For Non-Divorce Situations:

- All current owners complete steps 1-5.
- A signature guarantee of each current owner is required in step 5 if the value of the transfer is greater than \$100,000.
- The new owner(s) complete Steps 6-10.

### For Divorce Situations:

*If transferring all shares to the current primary owner (the person listed first in the current account registration):*

- All current owners complete steps 1-5.
- A signature guarantee of each current owner is required in step 5 if the value of the transfer is greater than \$100,000.
- Steps 6-10 may be left blank.

*If transferring all or a portion of the shares to the current secondary (joint) owner:*

- All current owners complete steps 1-5.
- A signature guarantee of each current owner is required in step 5 if the value of the transfer is greater than \$100,000.
- The current secondary (joint) owner completes steps 6-10.

To an UGMA/UTMA Account

- All current owners complete steps 1-5.
- A signature guarantee of each current owner is required in step 5 if the value of the transfer is greater than \$100,000.
- The custodian completes steps 6-10.

## 2

### Provide Current Account Owner Information

Current owner or trustee's name

Owner's U.S. Social Security or Tax Identification number

Current joint owner or co-trustee's name

Joint owner's U.S. Social Security number

**Continue to step 3 on page 4**

### 3 Provide Your Transfer Instructions

Complete part A or B, depending on your intent.

#### A. Transfer an Amount

Complete this step only if you want to transfer shares to someone else or a trust and specify the amount. If no amount is indicated, American Century Investments will transfer all shares to an account in the same fund. The transfer amount must meet the fund's minimum as stated in the prospectus. The new owner may then exchange to a different fund, if desired. If you want to change more than two accounts, please list the accounts on a separate piece of paper.

#### From my account:

|                |               |           |            |                  |
|----------------|---------------|-----------|------------|------------------|
| _____          | \$            | <b>OR</b> | %          | <b>OR</b>        |
| Account number | Dollar amount |           | Percentage | Number of shares |
| _____          | \$            | <b>OR</b> | %          | <b>OR</b>        |
| Account number | Dollar amount |           | Percentage | Number of shares |

#### To the following:

|  |                        |
|--|------------------------|
| _____  | _____                  |
| New owner's name   | New joint owner's name |
| _____  |                        |
| Account number (if known) or U.S. Social Security number |                        |

#### B. Split a Joint Account into Two New Accounts Due to Divorce

Complete this step only if shares in an existing account are to be split between the two current owners due to divorce proceedings. An amount is required. American Century Investments will not interpret a Divorce Decree or other legal document to determine the required transfer amount.

#### Choose one of the following options (either I. below or II. on page 5.):

I. Split all joint accounts as follows:

Owner 1: \_\_\_\_\_

\$ \_\_\_\_\_ **OR** \_\_\_\_\_ % **OR** \_\_\_\_\_ shares

Owner 2: \_\_\_\_\_

\$ \_\_\_\_\_ **OR** \_\_\_\_\_ % **OR** \_\_\_\_\_ shares

**Step 3 continued on page 5**

## Provide Your Transfer Instructions (continued)

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II. Split each joint account as follows:

Owner 1: \_\_\_\_\_

\_\_\_\_\_ \$ OR % OR shares  
Account number

\_\_\_\_\_ \$ OR % OR shares  
Account number

\_\_\_\_\_ \$ OR % OR shares  
Account number

Owner 2: \_\_\_\_\_

\_\_\_\_\_ \$ OR % OR shares  
Account number

\_\_\_\_\_ \$ OR % OR shares  
Account number

\_\_\_\_\_ \$ OR % OR shares  
Account number

## **4** Choose Your Cost Basis Reporting Method (taxable accounts only)

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For transfers of covered shares from taxable, non-money market accounts: Unless you specify otherwise, by attaching instructions, we will use your current cost basis method for the transfer. If you have Average Cost, please indicate if you would like us to transfer your oldest shares first or a percentage of each available tax lot. If no choice is indicated, we will transfer a percentage of each tax lot.

### **Investors who have Average Cost.**

Please transfer my shares using the following method:

- Oldest shares first
- Percentage of each tax lot

***Continue to step 5 on page 6***

## 5 Current Owner — Sign Your Name(s)

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### Signature Guarantee

**Please refer to step 1 to determine whether a Signature Guarantee is required in your situation. When required for personal accounts, all account owners must sign and provide a Signature Guarantee; or for entity owned accounts, the number of signatures required by your entity governing documents must be Signature Guaranteed.**

A Signature Guarantee is a warranty by the guarantor that the signature is genuine and that the person signing is competent and authorized to sign.

Many domestic banks, trust companies, credit unions, brokers, dealers, national securities exchanges, registered securities associations, clearing agencies and savings associations can provide a signature guarantee for you. The signature must correspond in every way, without alteration, with the name printed on the current account registration. Each guarantee must be an original ink stamp that states "Signature Guaranteed/Medallion Guaranteed."

NOTE: Acknowledgement of signature by a notary public is NOT acceptable.

**Please affix signature guarantee ink stamp below with appropriate signature, title of officer and date.**

This signature section certifies your instructions on how to split your account. The **new owner must sign in step 10** to certify their tax information and acknowledge our customer agreement.



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Signature and date

Printed name



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Signature and date

Printed name

*Continue to step 6 on page 7 (new owner)*

**6**

**New Owner – Provide Information for All Owners of the New Account**

Check one box to indicate the type of account you wish to establish.

|   |   |
|---|---|
| <input type="checkbox"/> Personal Trust Account   | <ul style="list-style-type: none"> <li>• Provide the names, Social Security numbers and date of birth of all trustees. (If more than two trustees, attach an additional sheet with this information.)</li> <li>• Send a copy of the pages of the trust document identifying the name of the trust, the name(s) of the trustee(s) and the date of the trust. This information is usually found on the first page, last page and signature page of the trust document.</li> </ul> |
| <input type="checkbox"/> Individual Account   |   |
| <input type="checkbox"/> Joint Account  | <ul style="list-style-type: none"> <li>• Unless you indicate otherwise, joint accounts are established as joint tenants with rights of survivorship and not as tenants in common.</li> </ul>  |
| <input type="checkbox"/> Uniform Gifts/Transfers to Minors Act Account  | <ul style="list-style-type: none"> <li>• If the new account is an UGMA/UTMA, provide the minor's information first and the custodian's second.</li> </ul>   |
| <input type="checkbox"/> Traditional IRA<br><input type="checkbox"/> SEP IRA<br><input type="checkbox"/> SARSEP IRA<br><input type="checkbox"/> SIMPLE IRA<br><input type="checkbox"/> Roth IRA | <ul style="list-style-type: none"> <li>• Transfers must be made to the same plan type.</li> <li>• Complete a <i>Designation of Beneficiary</i> form.</li> </ul>   |

**Personal Trust Accounts Only:**

\_\_\_\_\_  
Name of trust

\_\_\_\_\_  
Date of trust agreement (month-day-year)

\_\_\_\_\_  
Trust's Tax ID number

**Primary Owner, Trustee or Minor for UGMA/UTMA Account:**

\_\_\_\_\_  
Mr. / Mrs. / Ms.    First name

\_\_\_\_\_  
Middle initial    Last name

\_\_\_\_\_  
U.S. Social Security number

\_\_\_\_\_  
Date of birth (month-day-year)

Check one:     U.S. citizen     U.S. resident alien

**Street Address (No P.O. or Private Mail Boxes permitted.)**

\_\_\_\_\_  
Street

\_\_\_\_\_  
Apt. / Unit

\_\_\_\_\_  
City

\_\_\_\_\_  
State

\_\_\_\_\_  
ZIP

**Step 6 continued on page 8**

**New Owner – Provide Information for All Owners of the New Account (continued)**

**Mailing Address (If different from the street address; P.O. or Private Mail Boxes permitted.)**

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|        |       |     |             |
|--------|-------|-----|-------------|
| Street |       |     | Apt. / Unit |
| City   | State | ZIP |             |

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|                            |                            |
|----------------------------|----------------------------|
| Telephone number (daytime) | Telephone number (evening) |
|----------------------------|----------------------------|

Email address

The email address must be the custodian's email address if the account is an UGMA/UTMA. Email address is required for eCommunication; **does not apply to clients of financial professionals.** (Consider electronic delivery—our eCommunication service is a fast, timely and secure way to get your account information without all the paper. When you provide your email address, we will send you more information about this service and a link to enroll.)

**Joint Owner, Trustee or Custodian for UGMA/UTMA Account:**

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|                  |            |                |           |
|------------------|------------|----------------|-----------|
| Mr. / Mrs. / Ms. | First name | Middle initial | Last name |
|------------------|------------|----------------|-----------|

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|                             |                                |
|-----------------------------|--------------------------------|
| U.S. Social Security number | Date of birth (month-day-year) |
|-----------------------------|--------------------------------|

Check one:     U.S. citizen     U.S. resident alien

**Unless otherwise indicated, all accounts registered in multiple names will be registered as joint tenants with rights to survivorship and not as tenants in common.**

**Joint Owner, Trustee or UGMA/UTMA Custodian's Street Address:** (If different from the owner's street address; No P.O. or Private Mail Boxes permitted.) For UGMA/UTMA, please provide the custodian's address.

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|        |       |     |             |
|--------|-------|-----|-------------|
| Street |       |     | Apt. / Unit |
| City   | State | ZIP |             |

**Mailing Address (If different from the street address; P.O. or Private Mail Boxes permitted.)**

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|        |       |     |             |
|--------|-------|-----|-------------|
| Street |       |     | Apt. / Unit |
| City   | State | ZIP |             |

**Continue to step 7 on page 9**



## 7 New Owner — Establish Automatic Investments

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### Does not apply to SEP, SARSEP or SIMPLE IRAs.

If you want to make automatic investments from your bank account, please provide the information requested below and enclose a copy of a voided preprinted check.

We will make your investment on the 15th of each month, unless you specify another date. If the date you select falls on a weekend or holiday, we will make the investment on the next business day. To invest directly from your paycheck or government agency, call us at one of the numbers on the back of this form.

\$ \_\_\_\_\_

Amount (\$50 minimum)

Start date (month-day-year)

## 8 New Owner — Review Services and Fees

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### How to Manage Your Account

Any one registered owner may transact by telephone, fax, in writing or online. We will use your investment check to establish transactions to and from your bank unless you provide a voided preprinted check for another acceptable bank account. If the fund you select offers CheckWriting (does not apply to IRAs) and you would like us to send you checks, please mark the box below. For descriptions and the terms and conditions that apply to all the options offered, see *Service Options: Understand Your Services* accompanying this form.

Yes, I would like to have a book of checks sent to me (applies only if the fund you select offers CheckWriting).

\_\_\_\_\_ If there is more than one owner or trustee, specify the number of signatures required on checks.

If no indication is made, you authorize only one signature be required on checks.

### Account Maintenance Fee (Does not apply to clients of financial professionals.)

We charge a \$12.50 account maintenance fee twice a year if your total investments are less than \$10,000. See *Service Options: Understand Your Services* for complete details about this fee and how we determine if it applies to your account. The fee does not apply to business retirement accounts or clients of financial professionals, but other fees may apply.

## 9 New Owner — Select How You Want to Receive Investor Documents

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### Does not apply to clients of financial professionals.

We generally deliver a single copy of most annual and semiannual reports, prospectuses and newsletters to investors with the same last name who share an address. We also will deliver account statements and other mailings in a single envelope. Check the box below to receive multiple copies.

I want to receive individually addressed investor documents at the same address.

**Continue to step 10 on page 10**

## **10** New Owner — Read and Sign Your Name on the Next Page and Date

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All owners and trustees of the new account must sign below. The custodian must sign on behalf of a minor for UGMA/UTMA accounts. Please sign exactly as your name appears in step 6.

Agent's name and signature are necessary if you have named an agent on a Power of Attorney document to transact on your behalf. Power of Attorney documentation must be submitted along with this form.

- I have received and read the appropriate *Disclosure Statement and Custodial Agreement* for my retirement account, if applicable.
- I am of legal age.
- I have read and agree to be bound by the provisions of the prospectus for the fund(s) in which I am investing.
- I have read and understand the *Service Options* flier, which is part of this application by reference. I understand and agree to the CheckWriting terms and conditions as stated in the *Service Options* flier, if I invest in a fund that offers CheckWriting.
- I understand that providing my email address gives American Century Investments permission to send me information about products and services via email.
- I authorize American Century Services, LLC ("American Century"), its affiliated companies and agents, to act upon my instructions provided herein. I understand that any account owner or trustee is authorized to transact business on this account by telephone, online, by fax, in writing, or by any other means acceptable to American Century. This authorization applies to all like registered current and future accounts in all investment companies in the American Century family, listed under the taxpayer identification number shown on this form.
- In consideration of American Century accepting this account application, I agree to defend, hold harmless and indemnify American Century and its officers, agents, employees, affiliates and successors from liability for any loss, claim or expense that I may sustain as a result of their acting on transaction instructions they believe to be genuine.
- I understand that American Century will use reasonable procedures to confirm that instructions submitted by any account owner or trustee online, by telephone, fax, in writing, or by any other means acceptable to American Century, are genuine, including personal identification, recording of telephone conversations and providing written or electronic confirmation of each transaction. A failure on their part to employ such procedures may subject them to liability for any loss due to unauthorized or fraudulent instructions.
- I understand that neither American Century nor its affiliated companies or agents shall be responsible or liable for any damages related to online services including but not limited to those caused by theft, unauthorized access, failure of electronic or mechanical equipment, communications line failure or telephone or interconnectivity problems or other occurrences beyond their control.
- Important Information About New Accounts: A federal law, established to help stop the funding of terrorism and money laundering activities, requires financial institutions to verify the identity of each person who opens an account. American Century will verify your identity using the name, street address, date of birth and U.S. Social Security number that you provide in this application. In some instances, we may request additional documentation.

***Step 10 continued on page 11***

## New Owner — Read and Sign Your Name Below and Date (continued)

- If I have chosen Average Cost as my cost basis method and the shares are transferred at a loss, I accept the Fair Market Value of the shares as of the date of the gift. (Only applies to transfers from taxable, non-money market accounts.)

### Certify Your Tax ID

If you'd like more information about certifying your taxpayer identification number, please review the General Instructions on IRS Form W-9, which can be found at [www.irs.gov](http://www.irs.gov).

FATCA Reporting - **If you are submitting this form for an account you hold in the United States, you may leave the second field below blank.**

The Foreign Account Tax Compliance Act (FATCA) requires a participating foreign financial institution to report all United States account holders that are specified United States persons. Certain payees are exempt from FATCA reporting.

Exemptions Codes (Codes apply only to certain entities, not individuals that are exempt from reporting under FATCA)

Exemptions (see instructions in IRS Form W-9):

Exempt payee code (if any) \_\_\_\_\_ Exemption from FATCA reporting code (if any) \_\_\_\_\_ *(Applies to accounts maintained outside the U.S.)*

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number, and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. citizen or other U.S. person.
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return.

The IRS does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.



Signature

Printed name and date



Signature

Printed name and date



Agent's signature

Agent's printed name and date

Power of Attorney documentation must be submitted if you are naming an agent to transact on your behalf.

**Custodian Acceptance (IRAs only).** State Street Bank and Trust Company will accept appointment as Custodian of your account. However, this Agreement is not binding upon the Custodian until you have received a statement confirming the initial account set up and/or initial transaction for the account. Receipt by you of a confirmation of the initial account set up and/or purchase of the Fund shares indicated above will serve as notification of State Street Bank and Trust Company's acceptance of appointment as Custodian of your account.

STATE STREET BANK AND TRUST COMPANY, CUSTODIAN

**American Century Investments**

P.O. Box 419200

Kansas City, MO 64141-6200

Investor Relations: 1-800-345-2021

Automated Information Line: 1-800-345-8765

[americancentury.com](http://americancentury.com)

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**For Overnight Deliveries:**

**American Century Investments**

430 W. 7th St.

Kansas City, MO 64105-1407

**Employer-Sponsored  
Retirement Plans**

P.O. Box 419385

Kansas City, MO 64141-6385

1-800-345-3533

**Investors Using Advisors**

P.O. Box 419786

Kansas City, MO 64141-6786

1-800-378-9878